



# **WATER RESOURCES DEPARTMENT**

Special project Circle,  
Palani.

Coimbatore Region,  
Coimbatore

<p><b>PRE – QUALIFICATION APPLICATION</b></p> <p><b>(TWO COVER SYSTEM)</b></p>
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NAME OF WORK:	Rehabilitation of 5 Nos of Vertical Shutters of Kodaganar dam in Vendasandhur taluk of Dindigul District.
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Tender submitted by

EMD:Rs. 7,35,000/-



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## **PRE – QUALIFICATION APPLICATION (TWO COVER SYSTEM)**

<b>NAME OF WORK:</b>	Rehabilitation of 5 Nos of Vertical Shutters of Kodaganar dam in Vendasandhur taluk of Dindigul District.
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**ESTIMATE AMOUNT : Rs. 14.92 Crore**

**Last Date for Receipt of  
Pre – Qualification Tenders : 03.08.2022 at 3.00 P.M**

From

To

The Superintending Engineer, WRD.,  
Special Project Circle.,  
Palani-3.

Sir,

Sub	Rehabilitation of 5 Nos of Vertical Shutters of Kodaganar dam in Vedasandhur taluk of Dindigul District.
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Having examined the Pre-qualification documents including scope of work time frame for construction and the criteria stipulated for Pre-qualification. I / We hereby submit all the necessary information and relevant documents for pre-qualifying me / us tender for the above mentioned work.

The application is made by me / us on behalf of (Partnership firm/ Private Limited Company / Public Limited Company in the Capacity of

.....

.....

..... duly authorised to submit the tender.

Necessary evidence admissible in the law in respect of authority assigned to me / us on behalf of the Partnership Firm / Private Limited Company / Public Limited Company for applying for Pre-qualification and completion of the contract document is attached herewith.

It is certified that the information furnished in this documents is authentic.

I / We present my / our documents herewith taking into consideration all the instructions in the Pre-qualification application supplied to me / us including special instruction to applicants, criteria for pre-qualification, informing and Instructions in the Detailed pre-qualification tender notice etc.,

I / We understand that the Superintending Engineer, Special Project Circle, Palani reserves the right to reject any or all the pre-qualification tenders without assigning any reasons therefore as detailed in the TamilNadu Transparency in Tenders Act – 1998.

(Signature of the applicant)

Date

Including Title capacity in which  
Application is made (Name in Block letters)

**Encl:**

1) Pre-Qualification Application

2)

3)

- 1. TYPE OF CONTRACT :** LUMPSUM CONTRACT SYSTEM  
(Item wise rate Tender)(Two cover system)
- 2. NAME OF WORK :** Rehabilitation of 5 Nos of Vertical Shutters of Kodaganar dam in Veda sandhur taluk of Dindigul District.
- 3. INVITATION :** Tender Notice No. NIT No. 13 SE / SPC Palani / 2022-23 / Dated: 28.06.2022.

Sealed Pre – Qualification Tenders along with sealed price Tenders are invited for and on behalf of the Governor of Tamil Nadu by the Superintending Engineer, W.R.D., Special Project Circle, Palani.

**4. FOR SPECIAL ATTENTION:**

a) Applicant should be Registered as a class I contractor in WRD/PWD along with registered Small Scale Industrial Unit in Department of industries and commerce of the Government of Tamilnadu and Ministry of micro small & medium enterprises, Government of India.

I. b) Applicant should be registered under factories act, Employees state insurance corporation & Provident fund organization

II. The Applicant in the same name and style, should have been in the Hydro mechanical equipments, Structural Manufacturing Construction field atleast for the past FIVE YEARS.

**5.** The Contractors who fulfill the Pre-qualification criteria are alone eligible to be considered in the Item wise rate system tender.

**6.** The Pre – qualification tender and Item wise rate price tender in separate sealed envelopes will be received by the **Superintending Engineer., WRD., Special Project Circle., Palani-3 on 03.08.2022 upto 3.00 PM**

**7. PERIOD OF COMPLETION:**

The period of completion shall be **09 (Nine)** calendar months (Including rainy season) from the date of handing over of the site to the successful Tenderer.

**8. EARNEST MONEY DEPOSIT:**

**8.1** Earnest Money Deposit of **Rs.7,35,000/- (Seven Lakhs Thirty Five Thousand only)** must accompany with the Pre – Qualification document for this work.

8.2 The Earnest money deposit may be produced in the shape of any one of the following forms.

(i) Demand Draft / Bankers Cheque issued by Nationalised or Scheduled Banks drawn in favour of the **Executive Engineer, W.R.D., Nanganjiyar Basin Division, Palani payable at Palani.**

(ii) Small Savings Scripts / Deposits Accounts, KisanVikasPatras , NSC duly pledged in favour of the **Executive Engineer, W.R.D., Nanganjiyar Basin Division, Palani.**

(iii) Bank Guarantee will not be accepted towards Earnest Money Deposit.

8.3 Pre-Qualification tenders not accompanied with the prescribed Earnest Money Deposit and which is not in acceptable shape will be rejected as Non – Responsive tender at the time of opening itself.

### **9. SECURITY DEPOSIT:-**

9.a. Successful tender has to furnish security deposit for an amount equal to **2% of the contract value including E.M.D.** which will be converted into security deposit.

9.b. If the tenderer fails to pay the requisite security deposit amount within the specified period of time, the Earnest Money Deposit paid with the tender will be forfeited.

9.c. On evaluation of Tender, if it is found that, over all quoted amount of the Tender is less than **5% to 15%** of the value put to Tender, the contractor has also to enclose an additional security deposit **at 2%** of the estimated value. If the Tender discount exceeds **15% to 20%** of the value put to tender the contractor has to enclose an additional security deposit of **50%** of the difference between the quoted amount and estimated amount.

9(d) If the over all quoted amount of the tender is less than 20% of the value put to tender, then the tender deciding authority will be ascertain the nature of work, the capacity of the tenderer, the competition for this work and feels that this work can be completed by the quoted tenderer with this less value, in such case the contractor has to enclose additional Security Deposit of **50%** to the difference between the quoted amount and estimated amount.

If the tender deciding authority feels that the quoted contractor will not able to execute the work, in such case the tender will be rejected.

The Additional Security Deposit Collected for the minus tenders referred above will be returned only along with security deposit as per agreement condition.

9.(e). If the Tender excess exceeds 20% of the value put to tender then the Tender will be rejected.

9(f) **ADDITIONAL CONDITIONS FOR CONTRACTOR'S SPECIAL ATTENTION.**

1. The contractor is bound for recovery under Revenue Recovery Act for any liabilities under this contract.
2. "If at any time the Executive Engineer shall be of the opinion that the contractor delaying commencement of the work or violating any conditions of the progress of work, as defined in the tabular statement" rate of progress in the Articles of Agreement" the Executive Engineer will so advise the contractor in writing and at the same time demand compliance. If the contractor neglects to comply with such demand, within 7 days after the receipt of such notice it shall be then or at any time thereafter be lawful for the Executive Engineer to impose penalty or forfeiture on this contractor from the Deposit or to determine the contract.
3. "All the rates quoted in the tender, shall be inclusive of GST payable under the GST as amended from time to time and that the contractor is responsible to file the GST return and pay the amount of tax as demanded by the C.T. Department. No request for payment of GST separately in addition to tendered rates due to any plea of subsequent levy or increase in tax will be entertained vide also clause 38(2) of General conditions to contract.
4. Any amount fallen due from the contractor on account of this contract even after recovering from the bills for this work and any other contract awarded to the contractor, then the amount is liable to be recovered under the provisions of Revenue Recovery Act.

9.(g). The Security Deposit in the shape of irrevocable Bank Guarantee will also be accepted. The Security Deposit should have a validity period of at least **46 (Forty Six) Months** from the date of signing of agreement.

9.(h). In addition to the aforesaid security deposit, the Executive Engineer shall deduct from the running account bills, an amount equivalent to **5% ( Five percent)** of the total value (including GST amount for all the running account bill) as retention money.

9.(i). The Security Deposit shall be released only after the defects liability period reckoned from the date of completion of work.

9.(j). **2 ½ % (Two and a Half Percent)** of the total value of the work, will be retained in the final bill of the work for a period of 36 months , reckoned from the date of completion of the work or as soon as after the expiration of such period of **36 Months (Thirty Six Months)** as all defects shall have been made good according to the true intend and meaning here of, whichever shall last happen.

9.(k). The Retention money of **2 ½ (Two and a Half Percent)** of the total value of contract after deducting any amount due to the department, shall be refunded to the contractor, after the defects liabilities attached to the contract is over (as per para 9(j) above) subject to the following conditions.

- (i) The Executive Engineer, W.R.D., Nanganjiyar Basin Division, Palani should certify that no liability is due from the contractor.

#### **10. LANGUAGE OF PRE – QUALIFICATION AND PRICE TENDER :**

Tenders shall be offered only in the prescribed forms in "ENGLISH " only.

#### **11. VALIDITY OF PRICE TENDER:**

The Price tender shall be valid for a period of **90 days (Ninety days)** from the date, notified for opening of tender. The tenderers shall be prepared to give due extension of validity until such time as may be required till the acceptance or decision of tenders by tender accepting authority.

#### **12. SUBMISSION OF PRICE TENDER:**

12.1 The Price tender under Item wise rate tender System may be submitted as per the instructions given in the price tender Schedule booklet. Tenderer should quote their rates both in figures and words in the Price tender schedule.

12.2. Pre – Qualification tender and Price tender must be submitted separately in a wax sealed envelopes. These envelopes (called as inner envelopes) shall then be put inside, in one outer envelope. The inner and outer envelopes shall be

addressed to : **Superintending Engineer, WRD, Special Project Circle., Palani-624601.**

The outer cover and inner covers containing the Pre – Qualification tender and Price tender must be super scribed as mentioned below:

### **Outer Cover:**

- a) PRE – QUALIFICATION TENDER AND PRICE TENDER
- b) NAME OF WORK
- c) TENDER NOTICE NO.
- d) DUE DATE FOR OPENING OF PRE – QUALIFICATION TENDER
- e) NAME AND ADDRESS OF TENDERER.

### **Information and Instruction for tenders**

- ❖ in respect of those aspect are required to be produced along with “Cover-I- Containing the Qualification Schedule” for applicant’s eligibility on qualification criteria along with the earnest money required.
- ❖ The Qualification Schedule in Cover-I received without the documentary evidence in this regard shall be summarily rejected under presumption that they are not qualified and treated as non – responsive tender.
- ❖ As such, If the Tender Inviting Authority wants any clarification on the documentary evidence produced by the applicant, he shall contact the officer in – charge (i.e. Certificate Issued Authority, Such as, Revenue Department, Registration Department, Income Tax Department, Department of Goods & Service Tax, Executive Engineer of WRD in respect of private executed by the applicant, etc.,) regarding the genuineness of the documents at the time of evaluation of tender in writing.
- ❖ It should be ensured that, all the documents should be attested and numbered by the applicant and the same are initialed by the Tender Inviting Authority.

### **Inner Cover - I (Pre – Qualification Tender):**

- a) PRE QUALIFICATION TENDER
- b) NAME OF WORK
- c) TENDER NOTICE NO
- d) DUE DATE FOR OPENING OF TENDER
- e) EARNEST MONEY DEPOSIT FOR **Rs. 7,35,000/- in the prescribed form.**
- f) NAME AND ADDRESS OF TENDERER.



## **Inner Cover - II (Price Tender):**

- a) NAME OF WORK
- b) TENDER NOTICE NO.
- c) NAME AND ADDRESS OF TENDERER.
- d) PRICE TENDER SCHEDULE WITH BILL OF QUANTITIES DULY FILLED IN THE RATES AND AMOUNT.

12.3. If the cover is not sealed and superscribed as instructed above no responsibility will be assured for any misplacement of tender or premature opening of the envelope or parcel.

12.4. Tenders received late, on any account or on any reasons whatsoever, will not be opened or considered and will be returned to the tenderer unopened.

12.5 Telegraphic Tender will not be accepted.

### **13. OPENING OF TENDERS:**

The Pre-Qualification Tender application will be opened by the **Superintending Engineer WRD, Special Project Circle., Palani** in his Chamber at **3.30 PM, on 03.08.2022** in the presence of the tenderers or their authorised representatives who choose to be present. The pre – Qualification Tender received will be evaluated on a **Pass or Fail** basis. Tenderer's representatives participating in tender opening should produce their authentication on the day of tender opening.

The Price Tender of the Pre – Qualified Tenderers will be opened by the **Superintending Engineer, WRD, Special Project Circle., Palani** in his chamber on intimation to the Pre – Qualified Tenderers. The Price Tenders of unqualified Tenderes in Pre – Qualification will be returned unopened along with EMD either by post or in person.

### **14. NEGOTIATION:**

Negotiation of rate will be made only with the lowest tenderer for reducing the quoted rates.

### **15. WHOM TO CONTACT:**

The Superintending Engineer, WRD, Special Project Circle, Palani (Phone No. 04545 -250148) may be contacted for further information in the matter.

**Dated Signature of Applicant with Seal**

**Superintending Engineer, WRD  
Special Project Circle., Palani-3**

## **INFORMATION AND INSTRUCTION FOR PRE – QUALIFICATION**

### **I. FOR SPECIAL ATTENTION:**

**PRE – QUALIFICATION TENDER HEREUNDER IS INVITED IN ACCORDANCE WITH PWD PROCEDURE FOR PRE – QUALIFICATION OF TENDERERS.**

### **I. MINIMUM CRITERIA FOR PRE - QUALIFICATION**

#### **Criteria – 1- Registration**

**1 (i)** The Applicant should be a registered contractor of TamilNadu State Public Works Department/Water Resources Department **under Class I State Level) with monetary limit Rs. 75.00 Lakhs (Rupees Seventy Five Lakhs) and above** along with Applicant should be Registered as a **Small Scale Industrial Unit** in Department of industries and commerce of the Government of Tamilnadu and ministry of micro small & medium enterprises , Government of India.

(ii) Applicant should be Registered under factories act, Employees’ state insurance corporation & Provident fund organisation

**Evidence to be produced for I (i)** Attested copy of **Registration Certificate** issued by the Registering Authority, registering the name of applicant as class I Contractor in Tamil Nadu State Public Works Department/Water Resources Department and live list certificate.

(i) Attested copy of Registration of SSI Unit and

Registered with Industries and Commerce Department of Government of Tamil Nadu or Ministry of micro small & medium enterprises Government of India for the particular activity. The activity should be highlighted in the Certificate.

(ii) Attested copy of Factory license, registration certificates from Employee’s state insurance corporation & Establishment code for provident fund organization.

**1.1 The Applicant Should remain in the same "NAME" and STYLE"** in the field of Hydro Mechanical equipments, structural Manufacturing and construction at least for the Past (5) Five Years. The tenderer should be well established and reputed Hydro Mechanical Equipments manufacturing contractor, registered as a legal entity for a minimum period of 5 years and having relevant experience of minimum of Five (5) years.

**1.2** If a registered single contractor has changed and registered his / her ‘Name and Style’ to a Company/ Partnership Firm within the period of past Five Years, is also be considered for this criteria, subject to the condition that the registered individual Contractor is one of the partner and having experience in Mechanical Engineering / Hydro Mechanical Engineering field for at least 5 (FIVE) Years.

- 1.3 The applicant should produce evidence with proven track record for his/ her /their Registration and Live Certificate.

**Evidence to be produced for 1.1, 1.2 and 1.3:**

(i) Audited Balance sheet with Chartered Accountant's Certificate for the past **"FIVE"** years in the case of Individual Contractor Partnership Firms, Private / Public Limited Companies.

(ii) Attested copy of Registered partnership deed in the case of Partnership Firms.

(iii) Attested copy of Articles of Association and Memorandum of Association registered with Registrar of Companies as per Company Act in the case of Private Limited Companies and Public Limited Companies.

(iv) Orders of Change in name / style in the registration issued by the competent authority and the live certificate for the current period.

**Criteria 2 Revenue Solvency Certificate**

**2.1** The Applicant shall be solvent to an extent **of at least Rs. 30.00 Lakhs (Rupees Thirty Lakhs Only)** on immovable properties in his / her/ firm's name. Obtained from revenue authorities.

**2.2.** In addition to the above, the applicant should furnish the copy of same Solvency Certificate, as furnished to the Registration Authority at the time of registering in his / her / their name, as contractor in PWD along with the Encumbrance Certificate on that property, which should be authenticated by the Notary Public, since the initial solvency certificate furnished by the applicant at the time of registration as contractor in PWD is the fundamental document for registration.

**Evidence to be produced for 2.1:**

Latest Revenue "Solvency Certificates" obtained within six months Prior to the date of tender for **not less than Rs. 30.00 Lakhs (Rupees Thirty Lakhs only)** issued by the Tahsildar concerned. If the date of Solvency certificate obtained is beyond six months, necessary encumbrance certificate is to be submitted.

**Evidence to be produced for 2.2:**

The copy of same solvency certificate as furnished to the Registration authority at the time of registering their name as contractor in PWD and Encumbrance Certificate authenticated by Notary Public.

**Criteria 3- Encumbrance Certificate**

The applicant should produce Encumbrance Certificate issued by the Registration Department on the properties listed out in the Solvency Certificate in the name of the applicant for the period **from the date of issue of solvency upto the date of publication of tender notice**. If it is found that the property owned by the applicant is under mortgaged, the Pre – Qualification tender is deemed to be invalid.

#### **Criteria -4- Income tax and GST Certificate**

The applicant should produce “Income Tax SARAL”, as produced to Income Tax Department for the preceding 5 (FIVE) Years, GSTIN (GSTIN or Goods and Services Tax identification number is a unique 15 digit number which has replaced the tax identification number (TIN). Before GST was implemented, dealers registered under the state VAT Law were given a unique TIN by the respective state tax authorities/GSTR (GOOD and Service Tax return) filed copy for the current year

#### **Evidence to be produced for 4.**

- (i) Attested copy of Income Tax Clearance Certificates or SARAL Form issued by competent Income Tax Department official valid for the current period.
- (ii) The income Tax claimed and paid during the past Five Years and the total contract bill amount received in the past Five years should have been indicated in the Income Tax clearance Certificate.
- (iii) Attested Copy of Registration Certificate showing the GST / assigned by the Commercial Tax Department issued by the competent State / Central Commercial Tax Department Officials.
- (iv) GSTIN (GSTIN or Goods and Services Tax Identification Number is a unique 15-digit Number, which has replaced the Tax Identification Number (TIN). Before GST was implemented, dealers registered under the State VAT Law were given a unique TIN by the respective State Tax Authorities)/ GSTR (Goods and Service Tax Return) filed copy for the current year.

#### **Criteria -5-**

#### **Major Works**

**The applicant should produce the documentary evidence for the constructed and completed major works by him / her / them during the past 5 (FIVE) Years.**

#### **Evidence to be produced for Criteria 5 :**

List of major Hydro Mechanical Construction works, completed in the **past five years** with full and complete details such as

- (i) Name of work
- (ii) Value of work
- (iii) Name of Employer for whom the works were executed
- (iv) Agreement Number
- (v) Period of Completion as per agreement
- (vi) Actual period of execution and date of completion.
- (vii) Reasons for delay if any.
- (viii) Nature of works.
- (ix) Certificate issued by employer.

Certificate for Hydro Mechanical and structural Manufacturing works with break up details should be produced.(Details furnished without supporting certificates will not be considered)

#### **Criteria -6**

##### **Similar Nature of Single work with in Preceding 5 Years.**

The applicant should have satisfactorily completed at least-

- i) One work of similar nature with value not less than **Rs.725.00 Lakhs** in a single agreement in any one of the preceding 5 (FIVE) years.  
(or)
- ii) Two works in two agreements of similar nature with value not less than **Rs.362.50 Lakhs** contract value in any one of the preceding 5 (FIVE) Years.

The firm should have undertaken 5 year maintenance and warranty after completion of this Hydro mechanical works.

##### **Evidence to produced for criteria-6:**

The Experience Certificate for similar nature, shall contain following details.

- ❖ Name of work.
- ❖ Location of the Work.
- ❖ Name / Designation of the Employer / Owner.
- ❖ Awarded value of work as per agreement with agreement number.
- ❖ Completed value of work as per agreement.
- ❖ Stipulated period of contract as per agreement.
- ❖ Date of commencement of work.
- ❖ Date of commencement of work.
- ❖ Date of actual completion of work.
- ❖ Reason for delay in completing the work, if any.
- ❖ Overall performance of the work executed.
- ❖ Any litigation in between the employer and the tenderer.

#### **Criteria -7**

##### **Quantum of items of works to have been completed in any one year during the last 5 years.**

1	Fabrication and installation of steel shutters for dams in Irrigation works	124.00 MT
2	Providing abrasive blast cleaning	2965.00 M <sup>2</sup>
3	Providing Metalizing	1668.00 M <sup>2</sup>
4	Application of Primer	2965.00 M <sup>2</sup>
5	Application of coal tar epoxy	2965.00 M <sup>2</sup>
6	Providing dialgauge	6.00 Jobs

##### **Evidence to be produced for Criteria -7**

The Quantity of works should have been executed in any one year during the last five years as a prime contractor (in the same name and style). The applicant will supply information regarding annual production for these items in the set of forms enclosed (form D4) with necessary certificate from the Engineer – in – Charge Certifying the specified items of works, executed in any one year in the last 5 years.

## **Criteria -8**

### **ANNUAL FINANCIAL TURNOVER:**

- i) The annual financial turnover of the applicant shall not be less than **Rs.2900.00 Lakhs** during any one of the preceding 5 (FIVE) Years. (at 2022-23). Financial turn over of previous year shall be given weightage of 10% per year based on the Rupees value to bring them to 2022-23.

### **Evidence to be produced for Criteria -8**

(i) Audited Balance Sheet, Profit and Loss Account etc., duly certified by the Chartered Accountant for the preceding **"FIVE"** Years.

(ii) With the condition that total amount of contract received by the applicant from the Employer / Employers should have been reflected in the ITCC also.

(iii) In case of difference in the depicted contract amount of the balance sheets and the amounts furnished in the Income Tax clearance certificate, the lesser of the two figures would be reckoned with.

## **Criteria -9: SHARE CAPITAL**

The applicant should have a minimum issued/ called up **"Share Capital" plus "Capital Reserves"** equal to atleast **Rs.290.00 Lakhs**.

### **Evidence to be produced for Criteria -9**

- i) Audited Balance sheet for the preceding Five years duly certified by the Chartered Accountant.
- ii) Indicate the amount, in the Audited Balance Sheets as
  - a Paid up share capital – in the case of Private / Public Limited Company.
  - b Called up and subscribed share capital in the case of Private/ Public Limited Company
  - c Partner's Capital Account in the case of Partnership Firm
  - d Individuals Capital Account in the case of Individual Contractors.
  - e Reserves and Surplus available in capital account alone will be taken as amount available as paid up share capital / called up share capital.

## **Criteria -10**

### **WORKING CAPITAL:**

10.1 The applicant shall have required working capital for at least **Rs. 162.00 Lakhs** under assumption that if the work under reference is also awarded to him / her / them.

10.2 Working capital means the amount available in the bank accounts of the applicant on the date of submission of Pre – Qualification application (Plus) unutilised amount of overdraft / credit facilities readily to extend to the applicant for the particular work issued by the Nationalised / Scheduled Banks approved by Reserve Bank of India.

- 10.3 One month current activity means, sum of total value of the unfinished portion of works already committed by the applicant and the work being executed (outstanding value) **divided** by the balance period available for completion of each of the committed works under execution **plus** value of work for which the Pre – Qualification application is called for divided by the number of months stipulated for its completion.
- 10.4 Outstanding value of committed works means, the total value of each project under execution **minus** the value of work completed plus work ready to commenced (i.e. committed work) as on the date of submission of Pre-Qualification application.
- 10.5 Regarding the working capital required, the certificate obtained from the Bank shall be IN ORIGINAL.
- 10.6 If it is found by the Tender Inviting Authority that, the applicant has furnished the incorrect particulars, the forged/false information (or) Incomplete particulars (or) incorrect details of ongoing works/ committed works by him / her / them in the Pre-Qualification application, the Pre –Qualification application may be treated as substantially non – responsive and rejected, as per the rules framed under Section-28 of Chapter -6 of Tamil Nadu Transparency in Tenders Rules,2000.

**Evidence to be produced for Criteria -10**

- a. Original Certified issued by Bank / Banks showing the amount available (on the date of submission of application) in the Current Accounts / Savings Bank Account of the applicant.
- b. Original Certified issued by Bank / Banks showing the limit upto which overdraft / Credit facilities is extending to the applicant and the overdraft / Credit facility availed by the applicant upto date and the utilised overdraft / Credit facility available.

**Note:**

Fixed deposits in the name of applicant will also be considered for the purpose of working capital on production of certificate issued by the Respective Banks clearly stating that the fixed deposits are available in the " Name of Applicant" and the same are "Encumbrance free" and can be readily " Encashable".

**Criteria -11 AFFIDAVIT AND UNDERTAKING:**

- 11.1 The applicant should not have any of his / her / their contracts terminated / or rescinded due to breach of contract on part of the applicant during the past 5 (FIVE) Years by any agency.
- 11.2 For the above criteria, the applicant should furnish an Affidavit the form, as prescribed in ‘Schedule-F’ & Undertaking, as prescribed in ‘Schedule – G’ incorporated in the Pre – Qualification application, signed by the applicant and authorised by the Notary Public.
- 11.3 The applicant should not get any negative remarks, such as, cost occasioned by repairs (or) re-construction of works from the employer in – charge regarding his performance

on the work completed by him during the course of contract Period, as well as in the liability period of 5 (FIVE) Years.

**Evidence to be produced for Criteria -11**

- a. Sworn in Affidavit duly certified by Notary Public, is to be produced in twenty rupees Non – Judicial stamp paper.

**Criteria -12 Technical Personnel**

The applicant should have a Project Manager and site Engineer with degree in Civil Engineer, Diploma in Civil Engineering with minimum field experience noted against each, available as given below, exclusively for this work.

Project Manager	1) One B.E., in Mechanical Engineering with at least 5 years experience in this field
Site Engineer	1) One B.E., in Mechanical Engineering + Two Diploma in Mechanical Engineering each with three years experience in this field (or) Two B.E., in Mech Engineering + One B.E., in Civil Engineering each with minimum 3 years experience Plus three retired junior engineers (or) Three Equivalent degree holder with 3 years experience Plus three diploma holders in civil engineering or 3 retired junior Engineers Plus one BE Mechanical Engineering (or) Two retired AEE or ADE plus three diploma holders in this field Plus one BE (or) Two retired AEE or ADE plus Four retired junior Engineers Plus one B.E., in this field

**Documents to be produced for Criteria -12**

(i) List of Technically Qualified personnel under Permanent / Regular employment available with the applicant with details such as (a) Name (b) Qualification (c) Total Experience (d) Under Regular Employment with the applicant since... (e) Emoluments paid etc.

(ii) The applicant should furnish an affidavit authorised by the Notary Public for the Technical personnel available with him/ her / them (or) proposed to be engaged especially for this work with him/ her / them along with their consent letter and qualification certificate and certify their experience in the field.

(iii) If required number of Technical Personnel are not available under Permanent regular employment of the applicant, the Names, Qualification, Total Experience etc., of the Technical Personnel proposed to be employed for this work, along with their willingness and attested Xerox copy of the testimonials in support of the qualifications of the Technical Personnel proposed to be employed exclusively for this work, should be furnished.



### **Criteria 13:**

#### **Tools and Plant**

The applicant should have the following **minimum construction Equipment, Tools and Plants** exclusively available for this work (**Either own or under lease with the applicant**) at the time of submission of Pre Qualification tender proposed itself.

a. Fabrication and Erection equipments

<b>1</b>	<b><i>Medium Goods vehicle</i></b>	<b>:</b>	<b><i>1 no (One number)</i></b>
<b>2</b>	<b><i>Crane 12 T</i></b>	<b>:</b>	<b><i>1 no (One number)</i></b>
<b>3</b>	<b><i>Chain pulley block</i></b>	<b>:</b>	<b><i>1 no (One number)</i></b>
<b>4</b>	<b><i>Gas cutting equipment</i></b>	<b>:</b>	<b><i>3 nos (Three numbers)</i></b>
<b>5</b>	<b><i>Mig welding equipment</i></b>	<b>:</b>	<b><i>2 nos (Two numbers)</i></b>
<b>6</b>	<b><i>Arc welding equipment</i></b>	<b>:</b>	<b><i>2 nos (Two numbers)</i></b>
<b>7</b>	<b><i>AG7 Grinding machine</i></b>	<b>:</b>	<b><i>1 no (One number)</i></b>
<b>8</b>	<b><i>AG5 Grinding machine</i></b>	<b>:</b>	<b><i>2 nos (Two numbers)</i></b>
<b>9</b>	<b><i>Radial drilling machine</i></b>	<b>:</b>	<b><i>1 no (One number)</i></b>
<b>10</b>	<b><i>Magnetic drilling machine</i></b>	<b>:</b>	<b><i>2 nos (Two numbers)</i></b>
<b>11</b>	<b><i>Screw compressor 300cfm capacity</i></b>	<b>:</b>	<b><i>1 no (One number)</i></b>
<b>12</b>	<b><i>Airless spray painting machine</i></b>	<b>:</b>	<b><i>1 no (One number)</i></b>
<b>13</b>	<b><i>Generator 40KVA</i></b>	<b>:</b>	<b><i>1 no (One number)</i></b>
<b>14</b>	<b><i>Measuring Instruments for gauging</i></b>	<b>:</b>	<b><i>1 set</i></b>

#### **Documents to be produced for Criteria -13**

- (i) Attested Xerox copy of the R.C. Books for the Machineries / Vehicles owned by the applicant.
- (ii) Sworn in Affidavit and Chartered Accountant 's Certificate Stating the details of equipment, Tools & Plants available with the applicant.
- (iii) If the Tools and Plants are proposed to be taken on lease The copy of Lease agreement with details of tools and plants should be produced.

#### **Criteria -14 Bid capacity:**

Tenderers who meet the above requirement will be qualified if this available bid capacity is more than the total value of this tender.

**The available bid capacity will be calculated as under.**

**Assessed availablebid capacity = A x N x 1.5 – B.**

Where

- A – Maximum value of Hydro Mechanical Engineering works executed in any one year during the last five years (updated to **2022-23** price level) taking into account the completed as well as the work in progress.
- N – Number of years prescribed for completion of the work for which tender is invited (**i.e., 9 months (9 / 12 = 0.75 years)**)
- B - Value at **2022-23** price level of existing Commitments and on going works to be completed during the **next 09 months**.

**DEFINITION :**

"Existing commitments" the total value of each project under execution minus the value of works completed as on the date of submission of pre-qualification application and value of work awarded to the tenderer and value of work to be awarded to the tenderer i.e., tenders under consideration.

**Documents to be produced for Criteria -14**

List of works already committed by the applicant and are in progress.

- a) Certificate (for each of the committed works) issued by the Engineer in Charge (Not below the Rank of Executive Engineer / Project Engineer) of the work, being executed by the applicant with the following details.
1. Name of work with location.
  2. Name / Designation of the Owner / Employer.
  3. Agreement Number.
  4. Total value of work.
  5. Period of completion stipulated in the agreement.
  6. Date of commencement of the work.
  7. Balance period available for completing the work.
  8. Value of work so far completed.
  9. Value of Balance items of work to be completed.
  10. Physical Progress or stage of work
  11. Remarks.

**I. Note:**

1. If any of the information furnished by the applicant is found to be concealed or false, at a later date, the contract will be terminated forthwith, without prejudice to the rights thereon, consequent on termination, the contractor will be banned from business dealings.

2. All the documentary evidences should be stitched (Spiral binding should be avoided) neatly and the pages should be serial numbered. Index of the Index documents produced, should be prepared, and Reference to page number of the documents produced should be furnished in the Index.

3. The Pre – qualification evaluation shall be done on a **PASS or FAIL basis against each of the above Criteria.**

4. The evaluation will be done only based on the information, evidence, documents, records, particulars furnished by the applicant and hence the applicants are advised to furnish adequate and relevant information along with requisite documentary evidences without omission.

5. As far as possible, details shall be furnished in the schedules appended to this application. If the space left is found insufficient additional sheets may be attached to the schedules.

6. Photographs of the Civil Construction works completed by the applicants may be pasted in thick white paper and produced along with the documents.

7. Brochures, Pamphlets, etc., shall also be stitched along with the documents value.

8. The applicants are cautioned that the Pre – Qualification Application containing any deviation from the contractual terms and conditions, specification or other requirements will be rejected as non – responsive and low performance reliability.

## **II. METHOD OF TENDERING:**

(i) If the Pre – Qualification tender is made by an individual, it should be signed by the individual with his full name in capitals and his current address.

(ii) If the Pre – Qualification tender is made by a sole Proprietary Firm, it should be signed by the proprietor along with his full name, Name of firm with its current address. Documents with regard to Registration as **FIRM** by the Registrar of Firms should be produced.

(iii) If the Pre – Qualification tender is made by a **FIRM** in partnership it should be signed, by all the partners of the Firm with their full names with current address or by a **PARTNER** authorised by the Firm (either as per Articles of the Deed of Partnership, or by Power of Attorney ) for signing in Tenders, Agreements etc., In which case, certified copy of the Registered Deed of Partnership along with the current address of all the partners and a certified copy of the Registered Power of Attorney issued in favour of the Signatory, should be produced.

(iv) If the Pre – Qualification tender is made by a “**Limited Company or a Limited Corporation**”, it should be signed by a duly authorised person holding the power of attorney for signing the application, in which case, the certified copy of the Power of Attorney should accompany the tender. Such limited company or Corporation should also furnish satisfactory evidence of its existence along with the Pre – Qualification tender.

**(v) PRE – QUALIFICATION TENDERS FROM JOINT VENTURES ARE NOT ACCEPTABLE.**

(vi) All the Signatures in the Pre – Qualification tender and all the signatures in the Documents produced shall be dated.

(vii) All the original of the documentary evidences produced, shall be produced, if asked for, for verification at the time of opening of Pre – Qualification tender or during evaluation.

## **III. CAPABILITY OF APPLICANT:**

The Applicant shall include with the Pre – Qualification Application details in the prescribed Proforma vide Schedule ‘A’ to ‘H’.

- |       |                                     |   |
|-------|-------------------------------------|---|
| i.    | Schedule – ‘A’                      | : Structure and Organisation  |
| ii.   | Schedule – ‘B’                      | : Financial Capability Statement  |
| iii.  | Schedule – ‘C’                      | : Plant and Equipments.   |
| iv.   | Schedule – ‘D’<br>(D1, D2, D3 & D4) | : Work Experience (Work’s completed<br>Works in progress and details of<br>quantity done) |
| v.    | Schedule – ‘E’                      | : Abandonment of Work   |
| vi.   | Schedule – ‘F’                      | : Affidavit   |
| vii.  | Schedule – ‘G’                      | : Undertaking.  |
| viii. | Schedule – ‘H’                      | : Details of Technical personnel<br>under Regular Employment with<br>the Applicant.       |

#### **IV. OPENING OF PRE – QUALIFICATION TENDER**

Pre – Qualification tender received in sealed cover upto 3-00 P.M on 03.08.2022 will be opened on the same day at 3-30 PM by the Superintending Engineer, W.R.D., Special Project Circle, Palani– 642 003 in his chamber in the presence of the applicants or their authorised representatives (Who should produce the authorization issued by the Applicant / Firm / Company who choose to be present.)

- (i) The Pre Qualification tender received belatedly on account of any reasons what so ever, will not be considered or opened, and will be returned unopened to the applicant.
- (ii) Telegraphic Tender will not be entertained.
- (iii) The Pre – Qualification tender received will be evaluated on a **PASS or FAIL** basis against each of the Minimum criteria for Pre – Qualification in Para II above and Price tender documents cover will be considered only to the Pre-Qualification applicants (contractors)
- (iv) The price tender will be in the safe custody of the Deputy Superintending Engineer, W.R.D., Special Project Circle, Palani from the date of opening of Pre – Qualification tender till the date of opening of price tender.

Dated Signature of Applicant  
With Seal

**Superintending Engineer, WRD,  
Special Project Circle,  
Palani-3.**

**SCHEDULE “A”**  
**STRUCTURE AND ORGANISATION**

1. Name of the Applicant :
2. Status
  - i Individual Contractor
  - ii Sole Proprietary Firm
  - iii Firm in Partnership
  - iv Private Limited Company
  - v Public Limited Company
- 3 Head office/ Registered office address with Phone / Telex / Fax Number :
- 4 Regional office address with phone / Telex / Fax Number :
- 5 Local office (if any address with Phone / Telex / Fax Number. :
- 6 Field of activity of the Applicant as per deed of Partnership / Memorandum of Association / Articles of Association (Civil Engineering Contractor, General Engineering Contractor, Electrical Engineering Contractor etc., should be specified. :
- 7 Country any year of Incorporation :
- 8 Main line of Business :
- 9 Names, Position, Status, Capacity etc., of the key personnel / Directors of the Company (Attach organization chart showing the structure of the Company / Firm) :
- 10 Name, Capacity and address of the signatory who has signed in the pre – Qualification Application Attested copy of authorisation issued (either by power of attorney or as per articles of Partnership deed/ Memorandum of Association) in favour of the signatory to sign in the Pre – Qualification Application. :

Dated Signature of the Applicant with Seal

**SCHEDULE – “B”**  
**FINANCIAL CAPABILITY**

- 1 Name and address of the Applicant :
- 2 Income Tax Permanent account No. :
- 3 GST. Registration No. :
- 4 Annual turn over as per Income Tax returns : Tax Year  
 filed. 2017 2018  
 2018 2019  
 2019 2020  
 2020 2021  
 2021 2022
- 5 Annual turn over as per Audited statement of : 2017 2018  
 Account duly certified by the Chartered  
 Accountant during the preceding Five years 2018 2019  
 (Attach attested copy of balance sheet) 2019 2020  
 2020 2021  
 2021 2022
- 6 Financial position :  
 i. Cash on hand  
 ii. Cash with Bank / Banks  
 iii. Current assets  
 iv. Current Liabilities  
 v. Working capital  
 vi. Net worth.
- 7 Outstanding value of work already committed :  
 and in Progress and time left for completion  
 (Details for each Work to be furnished  
 separately)
- 8 Amount available in capital Account :  
 i. Paid up share capital  
 (of partners or share holders)  
 ii. Called up and subscribed share  
 capital.  
 iii. Reserves under capital account  
 iv. Surplus under capital account.

9	Net profit before tax during the proceeding five years	2017	2018
		2018	2019
		2019	2020
		2020	2021
		2021	2022

10 Applicant's Financial Arrangements :

- a) Own resources
- b) Bank Credits / Over Draft
- c) Other source (Specify the source)

**Dated Signature of Applicant with Seal**

## SCHEDULE “C”

### DETAILS OF CONSTRUCTION EQUIPMENTS, TOOLS AND PLANTS, VEHICLES, THAT COULD BE DEPLOYED EXCLUSIVELY FOR THIS WORK

Name of the Applicant :

1.No.	Name of Equipment / Tools and Plants/Vehicles	Total requirement for this work	Equipment owned by the Applicant			Equipment Currently under lease, available with the Applicant			Equipment proposed to be taken on lease			Proposed to be purchased
			Number	Year of Purchase Make and Capacity	Present working condition	Number	Year of Purchase Make and Capacity	Present working condition	Number	Year of Purchase Make and Capacity	Present working condition	
1	2	3	4	5	6	7	8	9	10	11	12	13
1	<i>Medium Goods vehicle</i>	1 no.										
2	<i>Crane 12 T</i>	1 no.										
3	<i>Chain pulley block</i>	1 no.										
4	<i>Gas cutting equipment</i>	3 nos.										
5	<i>Mig welding equipment</i>	2 nos.										
6	<i>Arc welding equipment</i>	2 nos.										
7	<i>AG7 Grinding machine</i>	1 no.										
8	<i>AG5 Grinding machine</i>	2 nos.										
9	<i>Radial drilling machine</i>	1 no.										
10	<i>Magnetic drilling machine</i>	2 nos.										
11	<i>Screw compressor 300cfm capacity</i>	1 no.										
12	<i>Airless spray painting machine</i>	1 no.										
13	<i>Generator 40KVA</i>	1 no.										
14	<i>Measuring Instruments for gauging</i>	1 set										

Note :For the equipments currently under lease with the Applicant Date of Expiry of lease period shall be furnished

Dated Signature of Applicant with seal



### **Schedule 'D'-1**

#### **WORK EXPERIENCE**

#### **LIST OF STRUCTURAL MANUFACTURING CONSTRUCTION WORKS (STEEL SHUTTERS FOR DAMS IN IRRIGATION WORKS) COMPLETED IN THE PRECEDING FIVE YEARS**

**Name of Applicant:**

Sl No	Name of work with location ( Town / Sate)	value of the work as per Agt. Rs.	Name of the Employer	Agt Number	Period of completion stipulated in the agreement	Time actually taken to complete the work	Reason for delay ( If any)	Type and Nature of the work	Value or work completed	Remark

Note: Details or original construction works alone should be furnished Repairs / Improvements to existing structures should not be included. Certificate issued by Engineer-in-charge ( not below the rank of Executive Engineer) Project Engineer of each of the work is to be appended.

**Dated Signature of Applicant with Seal**

**Contractor**

**Schedule 'D'-2**

**DETAILS OF SIMILAR STRUCTURAL MANUFACTURING AND INSTALLATION OF STEEL SHUTTERS OF DAMS IN IRRIGATION WORKS COSTING MORE THAN Rs.725.00 Lakhs UNDER SINGLE AGREEMENT AS A PRIME CONTRACTOR COMPLETED IN THE PRECEDING FIVE YEAR**

(or)

**DETAILS OF SIMILAR STRUCTURAL MANUFACTURING AND INSTALLATION OF STEEL SHUTTERS OF DAMS IN IRRIGATION WORKS COSTING MORE THAN Rs.362.50 Lakhs UNDER TWO AGREEMENTS AS A PRIME CONTRACTOR COMPLETED IN THE PRECEDING FIVE YEAR**

Sl No	Name of work	Location village / Town / Taluk/ District/ State	Value of the work as per Agreement	Name and designation of the Employer / Owner	Stipulated period of contract as per agt.	Date of commencement of work	Date of actual completion of work	Reason for delay in completion ( if any)	Actual value of work executed as per final bill	Quality of work

Note : Certificate issued by the Engineer – in – Charge ( not below the rank of Executive Engineer) Project Engineer of each of the work is to be appended.

Dated Signature of Applicant with Seal

Contractor

**Schedule 'D'-3**

**DETAILS OF WORKS ALREADY COMMITTED BY THE APPLICANT AND ARE IN PROGRESS**

**Name of Applicant:**

Sl No	Name of work with location	Nature / Designation of the Employer or owner	Agt, No	Total value of the work as per agt.	Period of completion stipulated in the agreement	Date of commenceme nt of the work	Balance period available for completing the remaining portion of the work	Value of work so far completed	value of balance works to be completed	Physical progress or stage of work	Remar ks

Note : Certificate for each of the committed works in progress, issued by the Engineer – in – Charge or project Engineer ( not below the rank of Executive Engineer) Project Engineer with all the above details should be appended.

**Dated Signature of Applicant with Seal**

**Contractor**

## FORM- D 4

**Requirement**

1	Fabrication and installation of steel shutters for dams in Irrigation works	124.00 MT
2	Providing abrasive blast cleaning	2965.00 M <sup>2</sup>
3	Providing Metalizing	1668.00 M <sup>2</sup>
4	Application of Primer	2965.00 M <sup>2</sup>
5	Application of coal tar epoxy	2965.00 M <sup>2</sup>
6	Providing dialgauge	6.00 Jobs

(Quantities of work should have been completed in any one year during the last 5 years)

Sl. No	Name of Project work	Name of employer	Total Quantity	Quantities done in different years				
				2017-18	2018-19	2019-20	2020-21	2021-22
1	2	3	4	5	6	7	8	9

**NOTE:** Separate form should be used for each items

Contractor

**SCHEDULE “E”**

**INFORMATION REGARDING CURRENT LITIGATION/ DEBARRING/  
EXPELLING OF APPLICANT FOR ABANDONMENT OF WORK BY THE  
APPLICANT**

1. a) Is the applicant currently involved in any Arbitration / : Yes / No.  
b) If yes, Details there on
  
2. a) Has the Applicant or any of its constituent Partners been Debarred / Expelled by any agency during the last ‘Five’ years : Yes / No.  
b) If yes, Details there on
  
- 3 a) Has the Applicant or any of its constituent partners failed to complete, any contract work during the past “Five” years. : Yes / No.  
b) If yes, give details there on.

**Dated Signature of Applicant with Seal**

**Note : If any information in this schedule is found to be incorrect or concealed , the Pre – Qualification tender will be summarily rejected.**

**SCHEDULE “ F”**  
**AFFIDAVIT**

(To be furnished in Twenty Rupees Non – Judicial stamp paper duly certified by Notary public)

1. I / We the undersigned solemnly declare that all the statement made in the documents, records etc., attached with this application are true and correct to the best of my / our knowledge.
2. I / We the undersigned to hereby certify that neither my / our firm/ company nor any of it's constituent partners have abandoned any work/ works of similar nature and magnitude in India, during the last “**FIVE**” years.
3. I / We the undersigned authorise and request any bank/ person/ firm/ corporation/ Government department to furnish pertinent information deemed necessary and requested by the Superintending Engineer, W.R.D., Special Project Circle, Palani to verify the statements made by me / us or to assess my / our competence and general reputation.
4. I / We undersigned understand further qualifying information / clarifications on the statements made by me / us may be requested by the Superintending Engineer, W.R.D., Special Project Circle, Palani and agree to furnish such information / clarification within “**SEVEN** “ days from the date of receipt of such request from the Superintending Engineer, W.R.D., Special Project Circle, Palani

(Dated Signature of the Applicant with Seal)  
(To be signed by the officer authorised by the  
Firm / Company to sign on behalf of the Firm /  
Company with company's Seal)

Note : In case of sole proprietary concern, affidavit  
should be signed only by the sole proprietor.  
(Title of the Firm / Company)  
(Date)

The above named deponent has understood the contents well and solemnly and sincerely declared and affirmed by the deponent in my presence at ----- and signed before me -----  
----- on this day of -----  
-----.

(Seal )

(Signature of the Notary public)

**SCHEDULE “G”**  
**UNDER TAKING**

( Under taking should be furnished in Twenty Rupees Non – Judicial Stamp paper with the Pre – Qualification Application and certified by the Notary public)

I / We

the applicant do hereby undertake that I / We will  
abide by the terms and conditions if any modified by the Government in the contract conditions subsequent to submission of Pre – Qualification tender / Price tender or subsequent to execution of the agreement.

Place :

Date :

Signature of the Applicant with Seal

The above named deponent has understood the contents well and solemnly and sincerely declared and affirmed by the deponent in my presence at \_\_\_\_\_ and signed before me  
\_\_\_\_\_ on this day of  
\_\_\_\_\_.

Place :

Dated :

Notary Public